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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 16 2007

APPLICANT(S): WOO, Yi-Ping, Joseph EXAMINER:

Not yet known

SERIAL NO.:

10/577,256

GROUP ART UNIT:

Not yet known

FILED:

April 26, 2006

ATTORNEY DOCKET No.: P-7281-US

FOR:

CYTOPROTECTIVE EFFECTS OF ETHYL PYRUVATE

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND PETITION FOR A THREE-MONTH EXTENSION OF TIME

Sir:

In response to the Notice to File Missing Parts of Application under 37 CFR 1.53(b), mailed November 16, 2006 (a copy of which is enclosed), Applicant(s) submit herewith the following:

ı.	$\boxtimes$	an executed Declaration and Power of Attorney making reference to the
		above-identified application, and in compliance with 37 C.F.R. 1.63;
2.		Applicant(s) Claim Small Entity Status: and

3. a Preliminary Amendment.

A response was due February 16, 2007. Applicant(s) hereby petition for a THREE-month extension of time. Therefore, a response is now due on May 16, 2007. Accordingly, this Response and Petition are being timely filed.

The Patent Office is hereby authorized to charge Deposit Account 50-3355 in the amount of \$2,125.00, covering the following:

05/18/2007 MKAYPAGH 00000121 503355 10577256

05 FC:2253

510.00 DA

P.003

1 646 878 0801 RECEIVED CENTRAL FAX CENTER

MAY 16 2007

APPLICANTS:

WOO, Yi-Ping, Joseph

SERIAL NO.: FILED:

10/577,256 April 26, 2006

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		FEE CAL	CULATION		
1. BASIC F	ILING FEE				
	Filing Date BEFORE December 8, 2004		Filing Date ON/AFTER December 8, 2004		
	Large Entity Fee	Small Entity Fee	Large Entity Fee	Small Entity Foo	
Utility	S790	\$395	\$1000	2500	S100
Provisional	S160	\$80	\$200	\$100	0
Application	Size Fee (over 100	pages, per 50)	\$250	\$125	0

2. EXTRA CLAIM PER	:S						
Total Claims	50	-20 =	30	x	\$25	]=	750
Independent Claims	10	-3 =	7	. x	\$100	□ -	700
Multiple Dependent				x	S360	_] <b>-</b>	
Large Entity Fee	Small Entity Fee	:	Fee Descript	ion		_	
<b>\$</b> 50	\$25		Claims in	excess of 2	:0		
, <b>\$</b> 200	\$100		Independe	nt claims i	n excess	of 3	
\$380 \$180			Multiple dependent claim, if not paid				

3. Fee for Petiti	on for Extens	sion of Time	
Large Entity Fee	Small Entity 1	Foc	
\$120	\$60	Extension for reply within first Month	0
\$450	\$225	Extension for reply within second Month	0
\$1,020	\$510	Extension for reply within third Month	\$510
\$1,590	<b>\$</b> 795	Extension for reply within fourth Month	0

4. Surcharge Fee Under 37 CFR 1.16(f) or 1.492(h)					
	Large Entity Fee	Small Entity Fee	i j		
Utility	\$130	\$65	\$65		

5. Surcharge Fee	Under 37 CFR I	.16(1)	
_	Large Entity Fcc	Small Entity Fcc	
Provisional	\$50	\$25	 0

TOTAL (all columns) 2125.00

If any additional fee is required, the under charge such additional fee to Deposit Account 50-38 d hereby authorizes the Patent Office to

05/18/2007 MKAYPAGH 00000121 503355 10577256

100.00 DA 01 FC:2633 02 FC:2617 03 FC:2615 65.00 DA 750.00 DA 700.00 DA 04 FC:2614

Mark S. Cohen

Attorney/Agent for Applicant(s)

My submitted,

Registration No. 42,425

Dated: May 16, 2007

Pearl Cohen Zedek Latzer LLP. 1500 Broadway, 12th Floor New York, New York 10036

Tel: (646) 878-0800 Fax: (646) 878-0801

# RECEIVED CENTRAL FAX CENTER

Page 1 of 2

## MAY 16 2007



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. DESCRIPTION OF PATENTS FOR DESCRIPTION OF PATENTS Address STATES AND PATENTS OF PATENTS OF PATENTS OF PA

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.
10/577,256 Yi-Ping Joseph Woo P-7281-US

49443 PEARL COHEN ZEDEK, LLP PEARL COHEN ZEDEK LATZER, LLP 1500 BROADWAY 12TH FLOOR NEW YORK, NY 10036 INTERNATIONAL APPLICATION NO.

PCT/US04/35445

I.A. FILING DATE PRIORITY DATE

10/27/2004 10/27/2003

Date Mailed: 11/16/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- . Indication of Small Entity Status
- Copy of the International Application filed on 04/26/2006
- Copy of the International Search Report filed on 04/26/2006
- Preliminary Amendments filed on 04/26/2006
- Small Entity Statement filed on 04/26/2006
- Request for Immediate Examination filed on 04/26/2008
- U.S Basic National Fees filed on 04/26/2006
- Priority Documents filed on 04/26/2006

Received

2 9 NOV 2006

Pearl Cohen Zedek Latzer

The applicant needs to satisfy supplemental fees problems indicated below

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$1450 as a small entity, including any required multiple dependent claim fee, are
  required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are
  due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1 497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
  must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

FOR:

# IAP12 Rec'd PCT/PTO 16 MAY 2007

### COVER PAGE LISTING DOCUMENTS BEING TRANSMITTED VIA FACSIMILE

7 Pages Via Facs Commissioner for P. O. Box 1450 Alexandria, VA 2		Certificate of Transmission  I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (fax no. 571-273-8300) on May 16, 2007.  Leah Herszberg		
Regarding the follo	owing Application:	RECEIVED CENTRAL FAX CENTER		
		MAY 1 6 2007		
APPLICANT(S):	WOO, Yi-Ping, Joseph	EXAMINER:		
SERIAL NO.:	10/577,256	GROUP ART UNIT:		
FILED:	April 26, 2006	ATTORNEY DOCKET No.: P-7281-US		

CYTOPROTECTIVE EFFECTS OF ETHYL PYRUVATE

Please find:	
1. Provisional Cover Sheet	9. Response to Notice to File Missing Parts
2. Utility Patent Application Transmittal	10. Response to Notice of Incomplete Reply
3. RCE Transmittal Sheet	11. Request for Correction of Filing Receipt
4. Fee Transmittal Sheet	12. Information Disclosure Statement including:
5. Patent Application Under 35 USC 111(a)	- Form PTO/SR/08 and references
Provisional Patent Application Under 35 USC 111(b)	13. Preliminary Amendment
	14. Response to Office Action
Transmittal Sheet for Entering National Phase	dated
Containing:	15. Petition for a One Month(s) Extension of Time
Pages of Specification	16. Notice of Appeal Appeal Brief
Pages of Claims	17. Issue Fee Transmittal Publication Fee
Page of Abstract	18. 🔲 Submission of Formal Drawings: Two sets of
Pages of Formal Drawings	Sheets containing Figs
Pages of	19. Copy of Priority Doc.
6. Signed Declaration & Power of Attorney	20. Claim for Convention Priority
7. Request for Correction of Recordation of Assign. and:	21. Revocation and Power of Attorney, including:
- Recordation Cover Sheet	- Statement Under 37 CFR 3.73(b)
Copy of Notice of Recordation of Assign.	- Copy of Assignment
8. Recordation of Assign. Cover Sheet & Signed Assign,	22. Other:

Page 2 of 2

Total additional fees required for this application is \$1615 for a Small Entity:

- \$65 Surcharge.
  - The application examination fee has not been paid. Applicant must submit \$100 to complete the
    examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if
    submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee
    was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is \$ 1450
  - \$700 for 7 independent claims over 3.
  - \$750 for 30 total claims over 20

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1 136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S application no shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web https://sportal.uspto.gov/aulhenticate/Authenticate/LserLocalEPF.html

For more Information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

#### LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/577,256	PCT/US04/35445	P-7281-US

FORM PCT/DO/EO/905 (371 Formalities Notice)